



# **INVEST BANTU, INC.**

## **PRIVACY POLICY NOTICE**

Effective Date: 06/19/2025

Last Updated: 06/19/2025

116 Village Blvd, Ste 200, Princeton, NJ 08540

Phone: 888-499-6903 | Email: [info@investbantu.com](mailto:info@investbantu.com)

Website: <https://mybantu.us>

# Privacy Policy

## Our Commitment to Your Privacy

Invest Bantu, Inc. values your trust and is committed to protecting your non-public personal information. This policy describes how we collect, use, and safeguard your information in compliance with:

- SEC Regulation S-P (17 CFR Part 248)
- SEC Regulation S-ID (17 CFR Part 248, Subpart C)
- FINRA Rule 3110 & 4511
- Gramm-Leach-Bliley Act (GLBA)
- N.J.A.C. 13:47A-6.3 (NJ privacy law)

## Information We Collect

- Information provided on forms (e.g., name, address, SSN, income, net worth, investment objectives)
- Information from transactions (e.g., account balances, trading activity, payment history)
- Information from third parties (e.g., custodians, legal, tax advisers)

## How We Use Information

- Deliver investment advisory and financial planning services
- Process transactions and manage accounts
- Fulfill regulatory requirements
- Communicate with you about your accounts

## Disclosure of Information

We do not sell client information. We may share as needed:

- With service providers (custodians, broker-dealers, auditors, technology vendors) for business purposes
- With regulators or law enforcement as required by law
- With others at your direction or with your consent

## Opt-Out Rights

You are not required to opt out as we share information only for legitimate business and regulatory reasons. If future circumstances give rise to an opt-out right, we will provide appropriate notice.

## Safeguards

We maintain physical, electronic, and procedural safeguards designed to protect your data per federal and state standards.

## Former Clients

We continue to protect your privacy even after our relationship ends.

## Record Retention

We retain records per SEC Rule 17a-4, FINRA Rule 4511, and NJ regulations, securely destroying them after required periods.

## Changes

We will notify you of material changes to this policy as required by law.

## Contact Us

Phone: 888-499-6903

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Website: <https://mybantu.us>

*This document is for informational purposes only and does not constitute legal, tax, or investment advice. Please consult your professional advisers.*

## Client Acknowledgment of Privacy Policy

I acknowledge receipt of Invest Bantu, Inc.'s Privacy Policy dated 06/19/2025.

Client Name:

Signature:

Date: